



Australian Government

# **Initial Assessment and Referral (IAR) Guidance for Mental Health Assessment Domains and Rating Guide**

## **Part B - Children (aged 5–11)**

**May 2024**



## Initial Assessment and Referral (IAR) Guidance for Mental Health Assessment Domains and Rating Guide PART B - Children (aged 5–11)

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The contents of this publication may be updated from time to time and users are encouraged to regularly visit [IAR-DST](#) to check for updated versions of this publication.

## Note on intended users

The Initial Assessment and Referral (IAR) Guidance for Mental Health and IAR Decision Support Tool (IAR-DST) is designed for use by Australian health professionals when a person presents to primary care for assistance for their mental health, or when the health professional providing the service identifies the person may be experiencing possible mental health symptoms and/or psychological distress. The IAR is intended to assist Australian health professionals to decide the most appropriate level of care a patient will need across five levels of care in an [Australian stepped care model](#).

The IAR Guidance documentation provides key information for Australian health professionals who undertake assessment and referral of people presenting to primary care who may have a mental health need.

It also provides information for mental health policy, program and service designers, and mental health and primary care service providers considering embedding the IAR into their systems, processes, and services.

Widespread adoption of the IAR 8 domain assessment framework and level of care model in the Australian healthcare system will aid in consistency of assessment and communication of patient information between practitioners, services, and systems.

## Terms of use

As a condition of Your use of the Online Decision Support Tool and its documentation and guidance material (IAR Guidance), You must agree to these [Terms of Use](#) each time you use the Online Decision Support Tool and IAR Guidance. The use of this Part is subject to the Terms of Use.

## About the IAR Guidance documentation and IAR-DST

The Initial Assessment and Referral for Mental Health (IAR) comprises the online IAR Decision Support Tool (IAR-DST) and Guidance documentation.

The IAR Guidance documentation includes a suite of documents providing information about the IAR and how to use it appropriately and effectively with people of different ages who present to the Australian primary care system with mental health symptoms and/or psychological distress.

The *Initial Assessment and Referral Guidance for Mental Health – PART A – General Guidance*, provides information on the IAR relevant to all age groups. It articulates the principles guiding the use of the IAR 8 domain assessment framework and IAR-DST to determine or confirm the most appropriate level of mental health treatment/care a patient requires, based on their current symptoms and circumstances. It provides information about the IAR five levels of care in the primary mental health care system and the types of services and supports associated with each level of care. It also provides general information about the online IAR-DST available at <https://iar-dst.online/#/>.

Detailed information on the 8 domains and how to rate each domain for children, adolescents, adults, and older adults is contained in the IAR Assessment Domains and Rating Guide for each age group, available as follows:

- Part B - Children (aged 5-11) (*this document*)
- Part C - Adolescents (aged 12-17)
- Part D – Adults (aged 18-64)
- Part E – Older Adults (aged 65 and over)

Whilst the IAR Guidance documentation uses age to indicate the overall appropriateness of each rating guide, the final decision about the most appropriate rating guide to use with each person is based on the clinical judgment of the user, considering contextual and developmental factors.

## A note on language

Mental health systems and services include many diverse terms and phrases that refer to people, roles, and processes. Preferred terminology in mental health may vary from both the terms in policy

documents and the terms other people prefer. Not all terms used have commonly agreed definitions, and not all readers will identify with the use of labels in the same way as they are presented here. A glossary of terms is provided in the *Initial Assessment and Referral Guidance for Mental Health – Part A – General Guidance*.

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# Assessment Domains and Rating Guide – Children (aged 5-11)

The Initial Assessment and Referral (IAR) Guidance for Mental Health, Assessment Domains and Rating Guide Part B – Children (aged 5-11) and IAR-DST for children assists general practitioners and other clinicians to recommend the most appropriate level of care for a child seeking or requiring mental health support (or when the health professional providing the service identifies the person may be experiencing possible mental health symptoms and/or psychological distress). In addition to the child rating guide, the following rating guides are also available for use:

- Part C - Assessment Domains and Rating Guide – Adolescents (aged 12-17)
- Part D - Assessment Domains and Rating Guide – Adults (aged 18-64)
- Part E - Assessment Domains and Rating Guide – Older Adults (aged 65 and over)

Whilst the IAR Guidance uses age to indicate the overall appropriateness of each tool, the final decision about the most appropriate rating guide to use with each patient is based on the clinical judgment of the health professional conducting the assessment, taking into account contextual, developmental (such as how the individual is functioning on a social, physical, intellectual, cultural and emotional level and whether this is at, below or higher than what is typical for their chronological age) and other considerations.

## General instructions for rating the domains

- The initial assessment is undertaken across 8 domains that describe clinical severity using a 5-point scale ranging from 0 to 4. Higher ratings indicate increased severity of the problem and the need for higher (more intensive) levels of care.
- Each rating within each domain is defined by one or more descriptors designated by alpha characters (a, b, c, etc.). Only one of these descriptors needs to be met for a rating to be selected.

## Overarching rules

- If there is uncertainty in the ratings for the primary assessment domains that impact on the level of care appropriate for the person, the IAR user may need to pause the IAR process and seek additional information that will allow rating of the domains with confidence.
- Where uncertainty remains about ratings for the primary assessment domains even after the additional information is obtained, the person and family (where appropriate) should be supported to access an appropriate clinician or service for a more comprehensive assessment.
- IAR does not indicate the urgency of the response a person might require. Users must still consider the urgency of the response required and activate urgent assessment and care pathways if needed (as per their service model and local system policies and procedures). Users of the IAR should be familiar with local urgent assessment and care pathways.
- Unless otherwise stated, IAR users consider what has been 'typical' for the person over the past 30 days except where the person has experienced more recent or sudden changes or deterioration. Where this is the case, users should base their ratings on the more recent changes.
- The IAR should not be used as a screening tool because it cannot be used without some form of personalised assessment.

## The initial assessment domains – Children

The initial assessment process recommended in this Guidance identifies 8 domains that should be explored in assessment and considered when determining the next steps in a referral process for a child who presents to primary care with a mental health need. These domains can be seen at figure 1.

Figure 1: the IAR domains

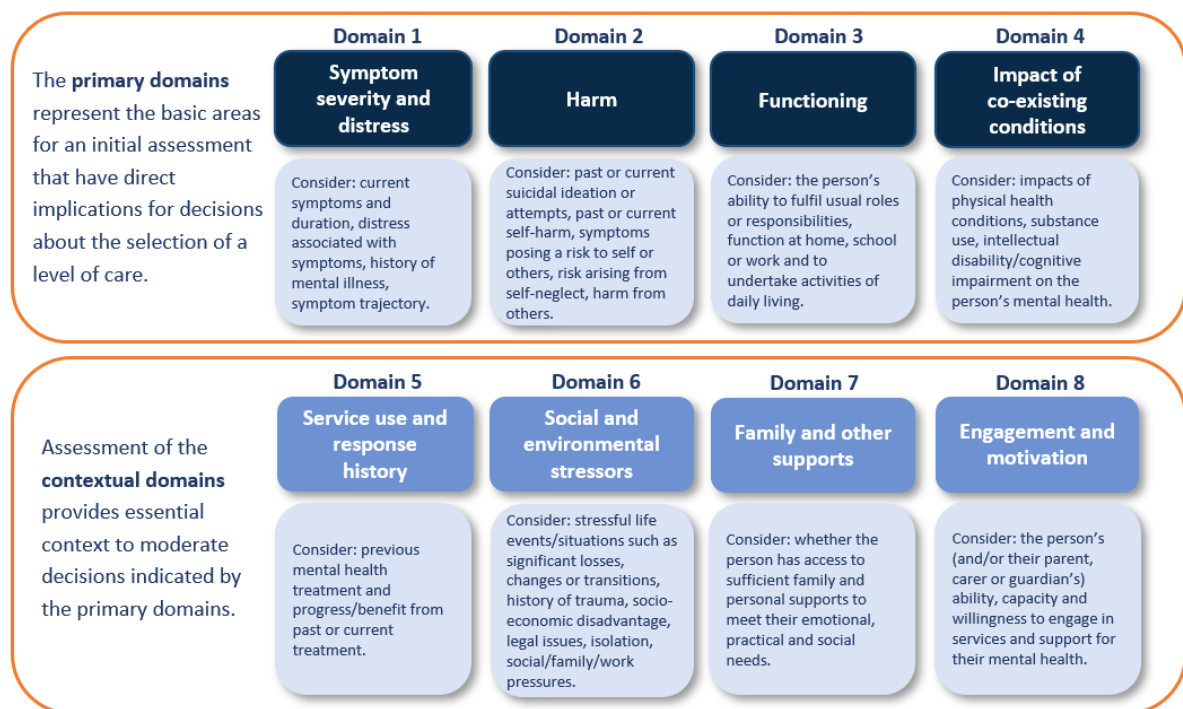


Figure 2 below provides a summary of the primary and contextual domains for children. Initial assessment should consider the child's current situation on all 8 domains. Each domain looks at specific factors relevant to making decisions about a level of care that is most likely suitable for the child's mental health treatment needs. The selection of the domains, and factors covered in each domain, aims to capture key areas that a clinician should consider when determining the most appropriate services for a child needing referral for mental healthcare.

Figure 2: The primary and contextual initial assessment domains – Children

<b>DOMAIN 1</b> <b>Symptom severity and distress</b>	<ul style="list-style-type: none"> <li>• Current and past symptoms and duration.</li> <li>• Level of distress associated with the mental health issue.</li> <li>• Experience of a mental health condition.</li> <li>• Are symptoms improving/worsening, is distress improving/worsening, and are new symptoms emerging?</li> </ul>
<b>DOMAIN 2</b> <b>Harm</b>	<ul style="list-style-type: none"> <li>• Suicidality – current and past suicidal ideation and attempts.</li> <li>• Intentional, non-suicidal self-harm – current and past.</li> <li>• Impulsive, dangerous, or risky behaviours with the potential for harm to self or others (including risks associated with the use of alcohol and other drugs).</li> <li>• The harm caused by abuse, exploitation, or neglect by others.</li> <li>• Unintentional harm to self or others arising from severe symptoms or self-neglect.</li> </ul>
<b>DOMAIN 3</b> <b>Functioning</b>	<ul style="list-style-type: none"> <li>• The child's ability to fulfil usual roles/responsibilities appropriate to their age, developmental level, and cultural background.</li> <li>• The child's functioning within the family or home environment, in educational settings, with friends and peers, and in the community.</li> <li>• The child's ability to undertake basic activities of daily living appropriate to their age and developmental level (e.g., self-care, mobility, toileting, nutrition, and personal hygiene).</li> </ul>
<b>DOMAIN 4</b> <b>Impact of co-existing conditions</b>	<ul style="list-style-type: none"> <li>• Physical health conditions.</li> <li>• Cognitive impairment, intellectual disability, developmental delay, neurological conditions, or learning and communication disorders.</li> <li>• Substance use.</li> </ul>
<b>DOMAIN 5</b> <b>Service use and response history</b>	<ul style="list-style-type: none"> <li>• Whether the child/family has previously sought help from or referred to mental health services and related supports (including specialist or mental health inpatient services).</li> <li>• Their progress or benefit from past or current services and support.</li> </ul>
<b>DOMAIN 6</b> <b>Social and environmental stressors</b>	<ul style="list-style-type: none"> <li>• The degree to which any or all of the following factors are relevant to the child's current circumstances and the referral decision: significant transitions, peer group stress, trauma or victimisation, family or household stress, socio-economic disadvantage, performance-related pressure, and legal issues.</li> </ul>
<b>DOMAIN 7</b> <b>Family and other supports</b>	<ul style="list-style-type: none"> <li>• Whether personal supports, including emotionally nurturing relationships, practical support, and social support, are present in the child's environment and their potential to contribute to improved mental health.</li> </ul>
<b>DOMAIN 8</b> <b>Engagement and motivation</b>	<ul style="list-style-type: none"> <li>• The parent/caregiver's awareness of the mental health issue.</li> <li>• The parent/caregiver's capacity and willingness to engage in or accept assistance.</li> </ul>



## Rating guide for the initial assessment domains – Children

The rating guide includes a hierarchical ranking of factors relevant to each domain to guide judgements about problem severity.

The rating guide provides a rating system that grades each domain on a 5-point rating scale of severity - while the terms vary in some domains, the rating scale for each domain follows the general format where:

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0 = No problem

1 = Mild problem

2 = Moderate problem

3 = Severe problem

4 = Very severe problem

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The rating guide outlines specific criteria for assessing each domain, designed to serve as a checklist of factors to consider when judging the extent to which a problem is present.

### Guide to rating each domain

- If more than one descriptor applies to the person being assessed within each domain, the descriptor with the highest rating should be selected.
  - Example one: if 3-b and 3-c apply, but 4-a is also present, the rating selected is 4.
  - Example two: if 2-a and 2-b apply, but 3-c is also present, the rating selected is 3.
- Use all available information in making a rating. This should include clinical interviews and information gathered from the child, the child's family, referrers, or other informants where possible. Consider all reliable perspectives when selecting a rating (e.g., including information provided by the child, family, or referrer).
- The coding of ratings as numerals does not imply that an overall composite score can be used for making decisions about the child's service needs. The numbers should be regarded as simply shorthand for summarising severity.
- Guidance is given for each domain on examples of problems that should be considered for specific ratings (the 'descriptors'). Consider these as examples only rather than an exhaustive list of all factors relevant to the domain. Therefore, referring to the underlying rating format at times may be helpful.

### Domain 1 – Symptom severity and distress

This domain considers symptoms to include both internalised (emotional) problems experienced by the child (e.g., fear, worry, sadness, irritability) as well as externalised behaviours observable by or impacting on others (e.g., perceived concerning or aggressive behaviours such as yelling, screaming, hitting, or throwing objects, appearing to ignore instructions from adults, seeming distracted or unable to concentrate).

Symptoms may be associated with distress, but this is not always the case and (for example) may present as somatic symptoms like headaches and stomach pain. Symptoms may indicate a particular diagnostic condition, but a diagnosis is not required for rating an individual on this domain, determining an appropriate level of care, or referring the person for mental health services.

Assessment of a child on this domain should consider:

- Current and past symptoms and duration.
- Level of distress associated with the mental health issues.
- Previous experience of a mental health condition.
- Are symptoms improving/worsening, is distress improving/worsening, and are new symptoms emerging?

## **0 = No problem in this domain**

### **1 = Mild**

**Symptoms are likely to be sub-diagnostic and have been experienced for less than 3 months (but this may vary)**

- a. Mild anxiety-related symptoms (e.g., occasional fears, worry, difficulty concentrating, occasional unexplained somatic symptoms like headache and stomach pain) without significant avoidant behaviour.
- b. Mild mood-related symptoms (e.g., sadness, fatigue, apathy, some reluctance to participate in previously enjoyed activities, irritability, occasional disrupted sleep).
- c. Mild behavioural symptoms (e.g., distractibility, overactivity, occasional difficulty following instructions or completing tasks, occasional concerning or aggressive behaviours, occasionally appearing oppositional, minor interpersonal difficulties).
- d. Currently experiencing a mental health condition associated with mild distress or mild reduction in quality of life.

### **2 = Moderate**

**Symptoms are at a level that would likely meet diagnostic criteria and have been experienced for more than 3 months (but this may vary)**

- a. Moderate anxiety-related symptoms (e.g., excessive worry, agitation, panic, difficulty concentrating, significant self-consciousness or significant concerns about body image, appearance or weight, frequent unexplained somatic complaints) with significant avoidance of anxiety provoking situations.
- b. Moderate mood-related symptoms (e.g., excessive sadness, apathy, exhaustion, frequent irritability, loss of interest and pleasure and/or frequent reluctance to participate in previously enjoyed activities, frequent sleep disturbance).
- c. Moderate behavioural symptoms (e.g., frequent impulsivity, hyperactivity, non-adherence to age-appropriate rules or social norms, frequent concerning or aggressive behaviours, significant interpersonal difficulties).
- d. Currently experiencing a mental health condition associated with moderate levels of distress and/or moderate reduction in quality of life.
- e. History of a diagnosed mental health condition earlier in childhood that has not responded to treatment, with continuing symptoms but only associated with mild to moderate levels of distress.

### **3 = Severe**

- a. Severe anxiety-related symptoms are present most of the time, the child has difficulty controlling or managing the symptoms and seeks to avoid anxiety provoking situations and/or experiences severe distress if asked to engage in anxiety provoking situations such that there is severe distress and/or significant disruption to the child's (and/or parent/family's) life.
- b. Severe mood-related symptoms are present most of the time, the child has difficulty controlling or managing the symptoms and the symptoms are associated with severe distress and/or significant disruption to the child's (and/or parent/family's) life.
- c. Severe behavioural symptoms are present most of the time, the child has difficulty controlling or managing the symptoms and the symptoms are associated with severe disruption and/or distress for the child, and/or their parent/family and interpersonal relationships.
- d. Currently experiencing other severe mental health symptoms or severe psychological distress (e.g., complex trauma responses, obsessions, compulsions, severely disordered eating). Symptoms may be ongoing or of more recent or sudden onset.
- e. Symptoms suggestive of an early form of a severe mental health condition (e.g., odd thinking/behaviour/speech, abnormal perceptions, short periods of unusually elevated mood, a substantial decrease in the need for sleep) or symptoms suggestive of an eating disorder.
- f. Has been treated by a specialist community mental health service or admitted to hospital for a mental health condition in the previous 12 months.

### **4 = Very severe**

- a. Very severe and pervasive anxiety symptoms are present virtually all the time, the child can rarely control or manage the symptoms and the child refuses to engage in anxiety provoking situations or activities. The symptoms are associated with severe distress, significantly reduced quality of life and/or severe disruption to nearly all aspects of the child's (and/or parent/family's) life.
- b. Very severe and pervasive mood-related symptoms are present virtually all the time, and the child can rarely control or manage the symptoms. The symptoms are associated with severe distress, significantly reduced quality of life and/or severe disruption to nearly all aspects of the child's (and/or parent/family's) life.
- c. Extreme behavioural symptoms are present virtually all the time and the child can rarely control or manage the symptoms. The symptoms are associated with severe distress, significantly reduced quality of life and/or severe disruption to nearly all aspects of the child's (and/or parent/family's) life.
- d. Currently experiencing very severe symptoms (e.g., disordered thinking, extreme mood variation, obsessions, compulsions, extreme avoidant behaviour, extreme interpersonal difficulties, extremely disordered eating with associated physical symptoms). Symptoms may be ongoing or of more recent or sudden onset.
- e. Highly unusual and bizarre symptoms/behaviours indicating a severe mental illness (e.g., hallucinations, delusions). Symptoms may be ongoing or of more recent or sudden onset.

### Practice point – eating disorders

Eating disorders are mental illnesses accompanied by physical and mental health complications which may be severe and life-threatening. A person with an eating disorder can experience disturbances in behaviours, thoughts, and feelings towards body weight/shape and/or food and eating. A person with symptoms suggestive of an eating disorder requires a comprehensive eating disorders assessment and referral to appropriate services according to a person's needs.

IAR-DST users should be familiar with their local eating disorder screening and assessment pathway. Contact the GP or state/territory mental health services for care instructions in the absence of a defined local pathway. For more information about eating disorders, visit the National Eating Disorder Collaboration - <https://nedc.com.au/eating-disorders/>.

## Domain 2 – Harm

This domain is focused on:

- Suicidality – current and past suicidal ideation, intent, planning, and attempts.
- Intentional, non-suicidal self-harm – current and past.
- Impulsive, dangerous, or risky behaviours with the potential for psychological or physical harm to self or others (consider and include risks associated with the use of alcohol and other drugs).
- The psychological or physical harm caused by abuse, exploitation, or neglect by others.
- Unintentional harm to self, arising from symptoms or self-neglect.

*The IAR for children includes the harm from others in domain 2 because there are direct implications for the intensity of a mental health response for children at risk of, or experiencing, harm from others is likely to require. Placing harm from others in another domain (e.g., domain 6) does not carry the same weight within the logic that underpins the recommendations about a level of care. Note that the presence of external stressors (e.g., family violence) is rated at domain 6, but the degree of harm arising from those stressors is rated separately at domain 2.*

**0 = No concerns about harm**

**1 = Previous but no current concerns about harm**

- a. No current suicidal ideation, but the child has experienced suicidal ideation in the past (with no previous intent, plans, or attempts). Demonstrates future-oriented thinking and has strong protective factors.
- b. Occasional non-suicidal self-injurious acts in the recent past and not requiring any medical treatment.
- c. May have engaged in past behaviours that posed a risk to self or others, but no current or recent instances.
- d. Currently at low risk of harm from abuse, exploitation, or neglect by others.

## **2 = Some current concerns about harm**

- a. Previous suicide attempt (more than 12 months ago) but no current ideation, intent, or plan. The child demonstrates future-orientated thinking and has strong protective factors.
- b. Frequent non-suicidal self-injurious acts in the recent past that did not require any medical treatment.
- c. Current or recent behaviours that pose a non-life-threatening risk to self or others.
- d. Currently at some risk of harm from abuse, exploitation, or neglect by others.
- e. Intermittent lapses in self-care that may lead to harm.

## **3 = Significant current concerns about harm**

- a. Current suicidal ideation but no current intent and no history of suicide attempts. No plan or strong reluctance to carry out the plan. Strong protective factors, and a commitment to engage in a safety plan, including involvement of family, significant others, and services.
- b. Recent suicide attempt (within past 12 months) but no current ideation, intent, or plan.
- c. Frequent non-suicidal self-injurious acts in the recent past, one or more of which required medical treatment.
- d. Recent or current impulsive, dangerous, or risky behaviours that pose a risk of harm to self or others, or that have had or are likely to have a serious negative impact.
- e. Serious medical risks and/or complications associated with a mental illness.
- f. Significant risk of, or recent experience of, abuse, exploitation, or neglect by others.
- g. Clearly compromised self-care ability that is ongoing to the extent that indirect or unintentional harm to self is likely.

## **4 = Very significant current concerns about harm**

- a. Current suicidal ideation with intent, typically with a plan and means to carry out the plan, or history of previous suicide attempt. Few or no protective factors. Limited or no future-orientated thinking.
- b. History of life-threatening self-injurious acts that are prominent in the current presentation.
- c. There is evidence of current severe symptoms (e.g., hallucinations, avoidant behaviour, paranoia, disordered thinking, delusions, impulsivity) with behaviour that is likely to present an imminent or unpredictable danger to self or others.
- d. Extremely compromised self-care ability to the extent that there is a real and present danger of the child experiencing harm related to these deficits.
- e. Life-threatening medical risks and/or complications associated with a mental illness.
- f. Other signs or indicators of imminent risk of serious harm to themselves or others.

### Practice point – evaluating harm associated with suicidal thoughts, impulses, or behaviours

This domain must be considered in the context of information gathered across the other seven domains. Information gathered across the other seven domains (e.g., severe symptoms, impulsivity, use of substances, environmental stressors, recent changes, and degree of engagement with helping resources) is especially important when evaluating harm.

The IAR-DST is not a suicide risk assessment or risk formulation tool. If an individual expresses suicidal thoughts or impulses or displays suicidal behaviours, a risk formulation compatible with local or state-based protocols (e.g., Towards Zero, Connecting with People) is indicated.

A risk formulation generally involves:

Determining risk status through consideration of static factors such as a history of psychiatric illness, family history of suicide, history of abuse, and history of suicidal behaviour.

Exploring risk status through consideration of recent suicidal behaviours, current symptoms and stressors, and engagement with helping resources. Comparing the current risk state to the person's "baseline" and "worst-point" states.

Exploring the risk state includes building an understanding of the:

- Nature of the suicidal thoughts (frequency, intensity, speed of onset, persistence, intrusiveness)
- Perception of the future (hope, alternatives to suicide)
- Degree of planning
- Degree of preparation
- Ability to resist thoughts of suicide

Considering the **resources available** to the person and **foreseeable changes** that might exacerbate risk, a **suicide risk formulation may need to happen urgently**. If this is the case, refer to localised urgent assessment and care pathways.

### Practice point – safety planning

If indicated, a safety plan can be an important resource to develop with a patient. There are templates and guidance for developing a safety plan available online from mental health service providers and systems.

### Practice point – mandatory reporting

Mandatory reporting laws aim to identify children at risk, including abuse and neglect incidents, and protect the individual children involved. The laws require selected groups of people to report suspected child abuse and neglect to government authorities. Laws exist in all Australian jurisdictions. However, the laws are not the same across all jurisdictions. Differences exist in who must report, the nature of risks and incidents that must be reported, and to who the report is made.

It is important to note that any person is lawfully entitled to make a report if they are concerned for a child's welfare, even if they are not required to do so as a mandatory reporter.

Users of the IAR-DST should be familiar with signs of abuse and neglect and their legal responsibilities regarding mandatory reporting. Visit: the Australian Institute for Family Studies for more information: <https://aifs.gov.au/cfca/publications/mandatory-reporting-child-abuse-and-neglect> or seek advice from your professional indemnity insurer or professional association.

## Domain 3 – Functioning

This domain considers functional impairment associated with or exacerbated by mental health issues. While some types of illnesses, disabilities and developmental delays being experienced by the child may play a role in determining what types of support services may be required, they should generally not be considered in determining mental health service intensity within a stepped care continuum.

Assessment of a child on this domain should consider the impact of the mental health issues on:

- The child's ability to fulfil usual roles/responsibilities appropriate to their age, developmental level, capability, and cultural background.
- The child's functioning within the family or home environment, in educational settings, with friends and peers, at play and in the community.
- The child's ability to undertake basic activities of daily living appropriate to their age, capability, and developmental level (e.g., self-care, mobility, toileting, nutrition, and personal hygiene).

### 0 = No problem in this domain

### 1 = Mild impact

- a. Mildly diminished ability to function in one or more of their usual roles (e.g., at home, in educational settings, with friends and peers, at play and in the community), but without significant or adverse consequences.
- b. Mental health issues contribute to brief and transient disruptions in one or more areas of functioning.

### 2 = Moderate impact

- a. Moderate functional impairment in more than one of their usual roles (e.g., at home, in educational settings, with friends and peers, at play and in the community) to the extent that they are reasonably frequently unable to meet the requirements of those roles, but without significant or adverse consequences.
- b. Mental health issues contribute to occasional difficulties with basic activities of daily living (e.g., eating, mobility, bathing, getting dressed, and toileting) or instrumental activities of daily living (e.g., preparing food, tidying up, completing tasks) but without threat to health.

### 3 = Severe impact

- a. Significant difficulties with functioning, resulting in disruption to many areas of the child's life most of the time (e.g., limited participation in educational or recreational activities, deterioration in or some withdrawal from relationships with friends and peers), but the child can function independently with adequate treatment, family, and community support.
- b. Mental health issues frequently contribute to difficulties with basic activities of daily living (e.g., eating, mobility, bathing, getting dressed, and toileting) or instrumental activities of daily living (e.g., preparing food, tidying up, completing tasks) on a consistent basis but without threat to health.

### 4 = Very severe impact

- a. Profound difficulties with functioning, resulting in significant disruption to virtually all areas of the child's life (e.g., unable to participate in educational activities, complete withdrawal from friends and peers).
- b. Mental health issues contribute to severe and persistent self-neglect that poses a threat to health.

## Domain 4 – Impact of co-existing conditions

Increasingly, individuals are experiencing and managing multi-morbidity (coexistence of multiple conditions, including chronic disease).

This domain considers the extent to which other conditions contribute to (or have the potential to contribute to) increased severity of the mental health issue or compromise the child's ability to participate in the recommended services and support.

Assessment of a child on this domain should consider the presence, and impact of, three possible coexisting conditions:

- Physical health conditions.
- Cognitive impairment, intellectual disability, developmental delay, neurological conditions, or learning and communication disorders.
- Substance use.

Where the child has more than one of the coexisting conditions, consider the condition which has the most impact.

### **0 = No problem in this domain**

### **1 = Minor impact**

- Physical health condition(s) present but are stable and have no or a minimal impact on the child's mental health.
- Cognitive impairment, intellectual disability, developmental delay, neurological condition, or learning and communication disorder present but has no or minimal impact on the child's mental health.
- Past experimentation or experience with substance use, but no recent episodes and no impact on the child's mental health.

### **2 = Moderate impact**

- Physical health condition(s) present and moderately impacts the child's mental health.
- Cognitive impairment, intellectual disability, developmental delay, neurological condition, or learning and communication disorder and moderately impacts, or has the potential to moderately impact the mental health of the child.
- Occasional substance use impacts on, or has the potential to impact on, the child's mental health.
- Non prescribed use of prescription medications that impacts on, or has the potential to impact on, the child's mental health.

### **3 = Severe impact**

- Physical health condition(s) present, which requires intensive medical monitoring, and severely impacts the child's mental health (e.g., worsened symptoms, heightened distress).
- Cognitive impairment, intellectual disability, developmental delay, neurological condition, or learning and communication disorder present and severely impacts the child's mental health.
- Frequent substance use threatens health and wellbeing or represents a barrier to mental health-related recovery.
- Non prescribed use of prescription medications significantly impacts the child's mental health or presents a barrier to mental health-related recovery.
- Occasional use of high or extreme risk substances. (e.g., substances with a high risk of adverse outcomes such as injury, loss of life, criminal charges and/or use of injection drugs which have a high risk of infection of blood-borne diseases).

### **4 = Very severe impact**

- One or more significant physical health conditions exist that are poorly managed or life-threatening and in the context of a concurrent mental health condition.
- Cognitive impairment, intellectual disability, developmental delay, neurological condition, or learning and communication disorder present and very severely impacts the child's mental health.
- Regular and uncontrolled substance use.
- Frequent non-prescribed use of prescribed medications that has the potential to threaten health and well-being.
- Frequent use of high or extreme-risk substances (e.g., substances with a high risk of adverse outcomes such as injury, loss of life, criminal charges and/or use of injection drugs which have a high risk of infection of blood-borne diseases).



## Practice point – definitions of cognitive impairment, intellectual disability, developmental delay, neurological condition, and learning and communication disorders

The terms cognitive impairment, intellectual disability, developmental delay, neurological condition, and learning and communication disorders have no universally agreed definitions. For this Guidance, the below definitions will apply:

**Cognitive impairment** – A description of a person's current functioning regarding learning, communication, attention, memory, thinking and problem-solving. Cognitive impairment can be temporary, permanent, mild, moderate, or severe. Cognitive impairment can affect what the person can understand and how they relate to others and interpret the environment.

**Intellectual disability** – A disability characterised by significant intellectual functioning and adaptive behaviour limitations, covering many everyday social and practical skills. This disability originates before the age of 18. Genetic factors cause most intellectual disabilities. However, there are other causes of intellectual disabilities, such as brain injury or being born prematurely.

**Developmental delay** – A developmental delay is when a child's physical, social, emotional, language or communication skill development is not at the level expected for their age and significantly affects their ability to engage in daily routines and activities.

**Neurological condition** – Neurological conditions affect the brain, spinal cord, and the nerves that connect them. There are more than 600 nervous system diseases (e.g., epilepsy, motor neurone disease, traumatic brain injury, multiple sclerosis).

**Learning and communication disorders** – Learning and communication disorders may affect how a child comprehends, recalls, understands, or expresses information. These disorders are often dynamic and can improve over time. The impairment caused by these disorders might be minimal or significant and vary from person to person.

## Domain 5 – Service use and response history

This domain considers the child and their family's previous use of services and support focussed on mental health-related assistance for the child. The initial assessment on this domain should consider:

- Whether the child/family has previously sought help from or required mental health services and related supports (including specialist or mental health inpatient services).
- Their progress or benefit from past services and support.

**Definition of the term services and support** – Relevant services and support refer to safe, developmentally, and culturally appropriate evidence-informed mental health, health or community services focussed on or relevant to the child's mental health (such as a psychological service delivered by a GP or mental health professional, or other behavioural services) rather than the personal supports provided by friends, family, or social networks. Consider both the child and their family's use of previous services and support but do not include those services and support relevant to, but not focused on, the child's mental health.

### 0 = No previous service use

- a. Has not previously sought help or required a referral for mental health issues.

### 1 = Excellent progress from previous service use

- a. Previously accessed services for a mental health issue and experienced a significant benefit resulting in no need for additional services at that time.



## 2 = Moderate progress from previous service use

- a. Previously accessed services and experienced a moderate benefit and required some additional services (either ongoing or periodically) to maintain the benefit.

## 3 = Minor progress from previous service use

- a. Previously accessed services with only minor benefits resulting in a need for additional services or longer duration of services.

## 4 = Negligible or no progress from previous service use

- a. Previously accessed services with little or no benefit.

## Domain 6 – Social and environmental stressors

This domain considers the extent and severity of a range of factors in the child's environment that might contribute to the onset or continuation of the mental health issue.

Significant environmental stressors and adversity can lead to increased symptom severity and compromise the capacity of the child and their family to participate in or benefit from the recommended resources or services. Furthermore, understanding the complexities the child is experiencing (or has experienced) may alter the type of service offered or indicate that additional service referrals are required (e.g., a referral to a social support service).

Assessment on this domain should consider the degree to which any or all of the following factors are relevant to the child's current circumstances and the referral decision:

- Significant losses (e.g., loss of friends or social connections, death of a loved one).
- Significant transitions (e.g., disruption to educational activities, parental separation/divorce, death of a loved one, transitions relating to gender identity or sexual orientation).
- Peer group stress (e.g., bullying, conflict with or isolation from the peer group, loss of friendships).
- Trauma (e.g., emotional, physical, psychological, or sexual abuse, exploitation, witnessing or being a victim of violence, family and domestic violence, natural disaster, exposure to suicide in family/community/school or peer group, loss, conflict).
- Victimization (e.g., human rights abuses, discrimination, racial abuse, victim of crime, refugee, or asylum-seeking experiences).
- Family or household stress (e.g., household drug or alcohol abuse, the parent or family member with an illness or disability, carer stress or stress associated with a caregiver role).
- Performance-related pressure (e.g., unrealistic role expectations or responsibilities, schooling demands, caregiving responsibilities) and stressors related to high-performance demands in school, dance, sport, and other relevant extra-curricular activities.
- Socioeconomic disadvantage (e.g., poverty, parental unemployment, unstable or insecure housing).
- Legal issues (e.g., the juvenile justice system or family court involvement, enforced separation from family).

Evidence points to the contribution made by historical childhood adverse events to longer-term mental health development. Assessment on this domain should consider the child's history but **only** record higher ratings where earlier experiences impact the current situation and require additional specific resources and services.

## 0 = No problem in this domain

## 1 = Mildly stressful environment

- a. The child is experiencing (or has experienced) one or more stressors that are currently having or are likely to have only a minor impact on the child's mental health.

## **2 = Moderately stressful environment**

- a. The child is experiencing (or has experienced) one or more stressors that are currently having or are likely to have a moderate impact on the child's mental health.

## **3 = Highly stressful environment**

- a. The child is experiencing (or has experienced) one or more stressors that are currently having or are likely to have a significant impact on the child's mental health.

## **4 = Extremely stressful environment**

- a. The child is experiencing (or has experienced) one or more stressors that are extreme, enduring, or recurring and are currently having, or are likely to have, a severe impact on the child's mental health.

### **Practice point – childhood experiences of trauma**

Adverse Childhood Experiences (ACEs) are stressful events or circumstances that people may experience throughout their childhood. They may relate to childhood physical, sexual, or emotional abuse, physical or emotional neglect, exposure to family violence, parental substance use, parental mental illness, parental separation or divorce, or parental incarceration.

A summary of the evidence and impacts by Emerging Minds reiterates that:

“Exposure to ACEs does not mean poor outcomes are inevitable. If present and reinforced in a child's life, there are known protective factors that can build the child's resilience and reduce the impacts of adversity. Nurturing relationships form the basis of healthy brain development, effective early learning, and a child's capacity to positively respond and adapt to life challenges. Many adults who experienced significant adversity in their childhood have had successful lives and happy relationships” – (Marie-Mitchell & Kostolansky, 2019; Traub & Boynton-Jarrett, 2017).

How a person responds to trauma is highly variable, and many individuals who have been exposed to ACEs will not require a mental health service. Immediate assignment of a level of care based on the experience of trauma alone is problematic and should be avoided. The ACEs study demonstrated a strong relationship between a person's exposure to ACEs and their physical and mental health throughout their lives. Researchers have established a dose-response association for ACEs – for instance, four or more ACEs are associated with an increased risk of adverse impacts.

### **Practice point – bullying (online and in-person)**

Bullying can impact the mental health of children. Children who experience bullying can experience feelings like shame, fear, embarrassment, anger, and worry. There is a marked increase in the risk of poor mental health outcomes, self-harm and suicidal ideation and behaviours among people who experience bullying, particularly if the experience of bullying is severe or prolonged.

Bullying, whilst common, is not a normal part of growing up. An initial assessment with a child should explore the child's experience of bullying and the impacts of these experiences.

Bullying should be considered in the context of social and environmental stressors (domain 6). The impacts of bullying on the child (if present) will be captured in symptom severity and distress (domain 1), harm (domain 2), and functioning (domain 3).

When considering the level of care, consideration should be given to the informal supports that a child might require outside the formal mental health system - social, school, family and community supports are generally important for children experiencing bullying.

## Domain 7 – Family and other supports

This domain considers whether personal supports, including emotionally nurturing relationships, practical support, and social support are present in the child's environment and their potential to contribute to improved mental health.

This domain does not include or consider professional support. Personal supports include:

- Family/primary caregivers.
- Friends and peers.
- Supports within the school environment.
- Supports within the community (e.g., cultural connections, elders, spiritual leaders, sporting groups, neighbours etc.).

Personal supports may be present, but unable to provide the needed support at the time. There are a range of factors that may impact on whether personal supports are able to be provided, such as competing caring responsibilities, a lack of access to respite or other supports, financial or practical constraints, additional skill development requirements, or illness or distress in family or primary caregivers. It is important to avoid blame or judgement of personal supports when exploring this domain.

Where appropriate, a mental health assessment and intervention for the support person (or family as a whole) should be considered.

### 0 = Highly supported

- a. There are family/primary caregivers and other personal supports available that are highly supportive, willing, and capable to meet the child's developmental, emotional, practical, and social needs.

### 1 = Well supported

- a. There are a few family/primary caregivers and other personal supports available that are supportive, willing, and capable of meeting the child's developmental, emotional, practical, and social needs.

### 2 = Limited supports

- a. There are a few family/primary caregivers available to provide support, but their willingness to provide support is variable or difficult to access, or the sources of support have insufficient resources or capabilities to meet the child's developmental, emotional, practical, and social needs whenever it is needed, or the child is reluctant to utilise the available supports.
- b. Other personal supports are available for the child but only partially compensate for needs not met within the family.

### 3 = Minimal supports

- a. Very few actual or potential useful sources of support are available, willing, and capable of meeting the child's developmental, emotional, practical, and social needs.
- b. There are serious limitations in the capacity or availability of supports outside the family, so that developmental, emotional, practical, or social needs are mostly unmet.

### 4 = No supports

- a. No useful sources of support are available, and developmental, emotional, practical, and/or social needs are mostly unmet.

- b. The child has no access to other supports that could compensate for needs not met within the family.

## Domain 8 – Engagement and motivation

This domain considers the parent/caregiver's motivation to engage in or accept assistance. Children do not have the agency or resources required to seek services and support independently. Therefore, the parent/caregiver's engagement and motivation are the focus of this domain for children.

Whilst this domain rates the engagement and motivation of the parent/caregivers, the child should be included in discussions, using language they understand, and supported to express their choices, preferences, fears, and goals about referral next steps. Assessment is unlikely to be valid unless rapport is established with the child and the child participates in the assessment process.

### 0 = Optimal

- a. The parent/caregiver is motivated and capable of participating fully in the recommended services and supports.
- b. The parent/caregiver is capable of taking an active role in supporting the child to manage the condition.

### 1 = Positive

- a. The parent/caregiver is mostly willing to accept and participate in the recommended services and support.
- b. The parent/caregiver can mostly take an active role in supporting the child to manage the condition.

### 2 = Limited or mixed

- a. The parent/caregiver is unsure whether they will accept or participate in the recommended services and supports or has limited capacity to do so.
- b. There is significant divergence between the parents/caregivers in the level of engagement, motivation, or ability to participate in the recommended services and supports.

### 3 = Minimal

- a. The parent/caregiver cannot participate in the recommended services and support without considerable practical or emotional assistance.
- b. Despite the child requiring them, the parent/caregiver has not facilitated access to services and support in the past due to low engagement or motivation.

### 4 = Disengaged

- a. The parent/caregiver cannot support participation in services and supports or avoids potentially useful and available supports.

### Practice point – checking in when engagement or motivation is low

A follow-up check-in helps determine if the recommended information, resources, or services are being utilised and perceived as helpful. Proactively “checking in” or encouraging the parent/caregiver to “check back” is essential when engagement or motivation is low. A plan for check-in should be made at the point of referral and documented.

The check-in could explore the following questions:

1. Is the parent/caregiver engaging with the recommended information, resources, or services? If the parent/caregiver is not engaging, it is essential to re-examine motivation and explore reasons for the lack of engagement.

2. Is the child engaging with the recommended information, resources, or services? If the child is not engaging, it is essential to re-examine motivation and explore reasons for the lack of engagement.
3. Does the child and their parent/caregiver think that the recommended information, resources, or services are/were helpful?
4. Is there evidence of deterioration or changing risk of suicide or harm to self or others?
5. Is the child or family experiencing new or worsening social and environmental stressors?
6. Discuss and document the next steps in collaboration with the parent/caregiver and child. The next steps might:
  - Continue existing service arrangements,
  - Build in additional supports,
  - Initiate a referral to a different level of care.

# Levels of care and using the IAR-DST

The IAR assists health professionals to determine the most appropriate level of mental healthcare for a person with mental health symptoms and/or psychological distress.

The IAR uses five levels of care that are consistent with the application and understanding of the stepped care model in the Australian primary care system. The information gathered through the initial assessment and the ratings assigned against each IAR domain is used to assist in determining a level of care and inform a referral decision. The levels of care do not replace individualised assessment and care - instead, they provide a framework to guide decision-making.

It is important to emphasise that the descriptions of care at each level are offered only to guide judgements about the recommended level of care. Each presenting person, parent/caregiver, and family will have unique requirements that must always take precedence in decision-making.

Health professionals can use the online IAR Decision Support Tool available at <https://iar-dst.online/#/> to enter their ratings on each domain for a patient and obtain a suggested level of care.

More detail on the IAR Levels of Care and the online IAR-DST is provided in *Part A - Initial Assessment and Referral (IAR) Guidance for Mental Health – General Guidance*.

## More information

Please contact the Department at [MH.IARProject@Health.gov.au](mailto:MH.IARProject@Health.gov.au) with questions or feedback about the IAR Guidance and IAR-DST.